



Hong Kong Institute of CPAs & Securities and Futures Commission Joint Seminar on The Audit of Licensed Corporations

Date	Tuesday, 19 January 2010
Time	6:30 p.m. – 8:30 p.m.
Venue	Auditorium, Hong Kong Institute of Certified Public Accountants, 27th Floor, Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong
Chairperson	- Mr. Steve Ong , Director, Standard Setting, Hong Kong Institute of Certified Public Accountants
Speakers	- Mr. Carlyon Knight-Evans , Partner, Financial Services, Ernst & Young - Mr. Leo Lam , Director, Intermediaries Supervision Department, Securities and Futures Commission - Ms. Erica Siu , Senior Manager, Intermediaries Supervision Department Securities and Futures Commission - Mr. Frankie Fan , Senior Manager, Intermediaries Supervision Department Securities and Futures Commission
Admission Fee	HK\$190 for member / HK\$330 for non-member
Event Code	F100119
CPD credit	2 hours
Competency	Audit and Assurance
Rating	Intermediate Level* - Sound understanding of the knowledge area. The ability to apply knowledge and skills to a range of situations and able to deal with new situations.
Application Deadline	14 January 2010

ABOUT THE SEMINAR

The seminar comprises two parts as follows:

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| (A) Practice Note | (B) Independent control reviews for stockbrokers |
| <ul style="list-style-type: none">• Highlight of the key reporting responsibilities of the auditors• Discussion of topical issues and areas of concern over applying PN 820• Impact of the key Auditing Standards issued | <ul style="list-style-type: none">• General objectives and scope• Tips for conducting a successful review |

REGISTRATION

To register, please complete the enclosed enrolment form and return it with full payment to the Institute. Enrolments will be accepted **on a first-come-first-served basis** with priority given to the Institute's members.

For enquiries, please contact:

- 2287 7034 (Michelle Tse) for event information;
- 2287 7379 (David Lok) for payment and enrolment status.

* Please refer [here](#) for descriptions of other competencies and ratings.

Acceptance is on a first-come-first-served basis. Confirmation of registration will be sent by e-mail. If confirmation has not been received on 15 January 2010, please contact Ms. Michelle Tse at 2287 7034 / Kay Choy at 2287 7220 or email: mcs.msce@hki CPA.org.hk

