

## Practitioners' Forum on Suspicious Transaction Reporting and Compliance Culture

Suspicious transaction reporting ("STR") is an **obligation of the accounting profession** as set out in the relevant local legislations. As the way forward, the profession is expected to establish internal policies, procedures and controls to cover customer due diligence and record keeping. **Failing to report knowledge or suspicion of crime proceeds or terrorist property is a criminal offence.**

Over 680 members had already attended the last three sessions of this forum. This re-run session will be the last one scheduled for the year to help practitioners understand more about STR to protect themselves from unwittingly committing the related offences. This will also help practitioners keeping away from the possible exploitation by money launderers and terrorist financiers.

**Date** Thursday, 16 December 2010  
**Time** 6:30 p.m. – 8:30 p.m.  
**Venue** HKICPA Conference Centre, 27/F., Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong  
**Fee** \$100 per HKICPA member / \$200 per non-HKICPA member

**Objectives**

- Provide practitioners with an overview of the latest international and domestic anti-money laundering developments
- Enhance practitioners' understanding of the statutory obligations and practices of suspicious transaction reporting
- Provide a forum for discussion on matters of common concern relating to anti-money laundering compliance and reporting.

**Speakers**

- **International Standards on Regulating Designated Non-Financial Businesses and Professions and the Way Forward**  
**Mr. Gordon YAM**, Assistant Secretary, Narcotics Division, Security Bureau
- **Suspicious Transaction Reporting: Legal Obligations and Protection Statistics and Case Examples**  
**Ms. Ruby Look**, Senior Inspector of Police, Joint Financial Intelligence Unit
- **Panel Discussion / Q&A Session**

**Participants** Practitioners are encouraged to attend

**Language** Cantonese

**Competency** Values, Ethics and Attitudes

**Rating** Foundation (Please [click here](#) for the Rating details)

**CPD Hours** 2

**Enrolment Deadline** ~~4 December 2010~~ **11 December 2010**

**To enroll** please complete the attached application form and return it, together with the payment, to the Institute by fax or post **before 4 11 December 2010**.

**Acceptance** is on a first-come-first-served basis. Priority will be given to members of [SMP Discussion Group](#). Confirmation on enrolment will be sent out **by email** to successful candidates latest **by 10 13 December 2010**.

Enquiries  
**Member & Corporate  
Services Department**  
Tel: 2287 7065 / 008  
Email:  
[mcs.scm@hkicpa.org.hk](mailto:mcs.scm@hkicpa.org.hk)





## Practitioners' Forum on Suspicious Transaction Reporting and Compliance Culture (3rd Re-run Session)

(MCS-1 Event Code : PAML101216)

Date: Thursday, 16 December 2010

Time: 6:30 p.m. – 8:30 p.m.

Venue: 27/F., Wu Chung House, 213 Queen's Road East,  
Wanchai, Hong Kong

**FOR OFFICE USE**

Seq. no.: \_\_\_\_\_

Handled by: \_\_\_\_\_

**Enrolment Form**

(Please reply on or before 4 11 December 2010)

Please click [here](#) for online enrolment

You can check your enrolment status at "My CPA" at [www.hkicpa.org.hk](http://www.hkicpa.org.hk). Unsuccessful enrolment will be notified by 40 13 December 2010 with full refund.

(One form for one participant)

Name: \_\_\_\_\_ (Mr./Mrs./Ms.) English: \_\_\_\_\_ Chinese: \_\_\_\_\_

HKICPA Membership no.: \_\_\_\_\_ SMPDG member:  Yes  No  
PC holder:  Yes  No

Company name: \_\_\_\_\_

Position held: \_\_\_\_\_

Email: \_\_\_\_\_

Telephone no.: \_\_\_\_\_ Fax no.: \_\_\_\_\_

<b>Participation fee:</b> <input type="checkbox"/> HK\$100 per HKICPA member <input type="checkbox"/> HK\$200 per non-HKICPA member	
Payment by: <input type="checkbox"/> Cheque no.: _____ (Bank: _____ ) <input type="checkbox"/> VISA Card <input type="checkbox"/> Master Card	
For payment by credit card, please fill in the following:	Card no.: _____
Cardholder's Name : (Please print)	Card Expiry Date (month/year) :
Date :	Cardholder's Signature :
<i>Cheque should be made payable to "Hong Kong Institute of Certified Public Accountants"</i>	
<b>FOR OFFICE USE</b>	
Auth. code no.	Handled by
Date	

**Notes:**

- Enrolments are accepted on a first-come-first-served basis. Priority will be given to members of SMP Discussion Group. No telephone reservation will be accepted.
- Full payment must be made before an application will be processed.
- Confirmation will be issued by email to participants **on or before 40 13 December 2010**. If you do not receive it by then, please contact the Member & Corporate Services Department at telephone no.: 2287 7065 / 008.
- No refund will be made for cancellation by the enrollee after payment has been received by the Institute. However, an alternate to attend the Forum may be accepted in case the participant is unable to attend. Please inform the Institute 3 working days before the event if such arrangement is required.
- The Institute reserves the right to change the venue, date and / or speaker of the event due to unforeseen circumstances.

**Personal Data:** All information provided in this form will only be used by the Institute or its agent for the purposes of processing the registration and/or organising the event in which you have enrolled. Although you are not obliged to provide the data sought by this form, failing to do so may result in an inability to process your enrolment. Collected data will only be used for this specific event and promotion of any future similar events. By completing the form you agree that the staff of the Institute or its agent may use your personal data for the purposes specified above. The detailed privacy policy to the Institute is available at [www.hkicpa.org.hk](http://www.hkicpa.org.hk).

**Payment & Enrolment Status Enquiry:** 2287 7381  
Email: [finance@hkicpa.org.hk](mailto:finance@hkicpa.org.hk)

**Event Information Enquiry:** 2287 7065 / 008  
Email: [mcs.scm@hkicpa.org.hk](mailto:mcs.scm@hkicpa.org.hk)